CERTIFICATE	OF MAI	LING BY "I	EXPRESS	MAIL:
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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV336627339 US, in an envelope addressed to: MS Patent Application, Commission of Patents, P.O., Box 1450, Alexandria, VA 22313-1450, on the date shown

below: Date:

9/19/03

Tamara Alcatraz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Toru HANAOKA et al.

Serial No.: Not Yet Assigned

Filing Date: Concurrently Herewith

For: OPTICAL PICKUP APPARATUS AND

ADJUSTMENT METHOD THEREFOR

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted: With the application; accordingly, no fee or separate requirements are required. 冈 Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. A fee is required. A check in the amount of is enclosed. П A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate. A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due. After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of is enclosed. П A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 275412001700. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September <u>19</u>, 2003

Respectfully submitted,

By: Alan S. Hodes

Registration No. 38,185

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Palo Alto, California 94304-1018

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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)			Docket Number 275	Docket Number 275412001700		Application Number Not Yet Assigned				
			Applicant	Applicant Toru HANAOKA et al.						
			Filing Date Concurr	Filing Date Concurrently Herewith Group Art Unit Not Yet Assigned						
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	1.	09/02/1994	 	Japan			Abstra	act		
	2.	06/30/1998		Japan			Abstra	ect		
	3.	09/14/2000		Japan			Abstra	Abstract		
	4.	05/11/2001	JP 2001-126299	Japan		-	Abstra	ict		
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